

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Alastair J. D. Beadle, *et. al.*  
Application No. : 10/716,304 Confirmation No. : 6922  
Filed : November 18, 2003  
For : SYSTEM AND METHOD FOR MANAGING  
RELATIONSHIPS BETWEEN BROKERS AND  
TRADERS  
Group Art Unit : 3696  
Examiner : Daniel S. Felten

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Sir:

Applicants do not necessarily agree with or acquiesce in the Examiner's reasons for allowance as set forth in the Notice of Allowability, or with any other characterization or rejection of the claims that the Examiner may have made during prosecution of this application. Accordingly, this statement as well as any other statement, amendment, or silence on Applicants' part in no way means or implies that Applicants have in any way disavowed or abandoned any subject matter or claim scope as a result of the Examiner's characterizations or rejections.

In addition, Applicants do not necessarily agree with the Examiner's paraphrase of claim 23 as set forth in the reasons for allowance. Furthermore, regarding the Examiner's reference to the Specification regarding the limitations of claim 23, Applicants note that the claims should be interpreted in light of the entire disclosure and knowledge of a person having ordinary skill in the art.

Finally, Applicants note that the Examiner made no reference in the reasons for allowance to independent claim 39, but that claim 39 recites limitations similar to claim 23.

Respectfully submitted,

/Ruth J. Ma/

March 16, 2009  
Date

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Ruth J. Ma  
Reg. No. 55,414  
(857) 413-2056